

11/27/01

11003 U.S. PTO

11.28.01

A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

NCR Corporation Docket No. 9718

U. S. Patent and Trademark Office
 P. O. Box 2327
 Arlington, Virginia 22202

JC955 U.S. PTO
 09/994529
 11/27/01

Sir:

Transmitted herewith for filing is the patent application of Inventor(s): Sterling, et al.

For: "Case-Based Reasoning Similarity Metrics Implementation Using User Defined Functions"

Enclosed are also:

- X 10 Sheet(s) of Drawings
X An assignment of the invention to NCR Corporation
X A signed Combined Declaration and Power of Attorney
X Form PTO/SB/35 Request for Nonpublication

CLAIMS AS FILED

FOR	Number Filed		Number Extra		Rate		Basic Fee (\$740)
Total Claims	32	-20 =	12	X	\$ 18	=	\$ 216.00
Independent Claims	5	-3 =	2	X	\$ 84	=	\$ 168.00
Multiple Dependent Claims	0			X	\$280	=	\$ 0
Total Filing Fee							= \$1,124.00

- X Please charge the above filing fee to the account of NCR Corporation, Deposit Account No. 50-1673.

Please have all communications concerning this application and the recorded Assignment directed to:

John D. Cowart
 Teradata Law IP, WHQ-4W
 NCR Corporation
 1700 S. Patterson Blvd.
 Dayton, OH 45479-0001

Our telephone number is: 858-485-4903

Respectfully,

Howard L. Speight
 Howard L. Speight
 Reg. No. 37,733
 Attorney for: Sterling, et al.
 HOU03:799758.1

CERTIFICATE OF MAILING "EXPRESS MAIL"	
"Express Mail"	Mailing Label Number <u>EL865848150US</u>
Date of Deposit	<u>November 27, 2001</u>
<p>Due to the suspension of Express Mail effective November 16, 2001, I hereby certify that this paper or fee is being deposited with the United States Postal Service "EXPRESS MAIL POST OFFICE TO ADDRESSEE" Service under 37 CFR 1.10 on the date indicated above and is addressed to the U. S. Patent and Trademark Office, P. O. Box 2327, Arlington, VA 22202.</p>	
<p><u>Debra Sprouse</u> (type or print name of person certifying) <u>Debra Sprouse</u> Signature</p>	

Express Mail No. EL865848150US

REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)		First Named Inventor	Warren Sterling, et al.		
		Title	Case-Based Implementation	Reasoning Using User Defined Functions	Similarity Metrics
		Atty Docket Number	NCR 9718		

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

November 27, 2001

Date

Howard L. Speight
Signature

Howard L. Speight
Typed or Printed Name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

HOU03:815167.1

Attorney Docket No.: 9718
Express Mail No.: EL865848150US

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, D.C. 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, D.C. 20231.

TOZET 6254560